

## Job description

Department: Risk & Compliance  
Job title: Compliance Executive  
Reporting to: Risk and Compliance Manager  
Location: Guernsey or Southampton

### About RAW Capital Partners

RAW Capital Partners is an investment manager and specialist lender based in Guernsey. We currently operate in a specialist segment of the mortgage market where we can source high-quality opportunities that others overlook. We provide secured lending against UK property, primarily to non-UK resident individuals purchasing buy-to-let property.

Meanwhile, we provide our investors with attractive and consistent risk-adjusted returns, a high level of capital security, and fee transparency. It is our aim to grow the fund's assets under management while continuing to provide investments that perform as investors expect.

### Purpose of role

The Compliance Executive supports the Risk & Compliance Manager in ensuring the business meets its regulatory and compliance obligations and maintains a strong culture of risk awareness and regulatory discipline.

The role sits within the second line of defence and provides exposure to a broad range of compliance and risk activities across the business. The roleholder will contribute to compliance monitoring, regulatory oversight, and risk management activities while working closely with operational teams to support effective and proportionate controls.

### Key responsibilities

The Compliance Executive will support the Risk & Compliance Manager in delivering the firm's compliance and risk framework, including:

- Supporting the delivery and ongoing development of the Compliance Monitoring Programme, including testing and reporting.
- Conducting periodic client file reviews and maintaining client due diligence records in line with regulatory requirements.
- Assisting with business risk assessments and other risk management activities across the firm.
- Monitoring sanctions alerts and other regulatory notifications, escalating potential matches where appropriate.
- Maintaining awareness of regulatory developments and supporting the communication of relevant updates across the business.
- Supporting the preparation of compliance reporting and documentation for internal governance forums.

- Assisting with policy and procedure updates, thematic reviews, and other compliance-related projects as required.

## We look for candidates who are

- Experienced in a compliance, risk, or regulated financial services environment.
- Familiar with AML/CFT requirements, client due diligence, and regulatory compliance processes.
- Analytical and able to identify issues, assess risk, and apply sound judgement.
- Detail-oriented with the ability to review information carefully and maintain accurate records.
- Organised and capable of managing multiple tasks in a structured manner.
- Clear communicators who can present information and findings in a concise and professional way.
- Collaborative and comfortable working with colleagues across the business.

## Professional development

The company is committed to supporting your growth and development. Opportunities for professional development may include:

- **On-the-Job Training:** Hands-on experience in a variety of compliance-related tasks to build your expertise.
- **Regulatory and Industry Courses:** Access to courses provided by the Guernsey Financial Services Commission (GFSC), Guernsey Association of Compliance Officers (GACO), Financial Intelligence Unit (FIU) and the Guernsey Training Agency (GTA) to deepen your understanding of compliance and risk management.
- **Professional Certification:** Support in pursuing certifications through the International Compliance Association (ICA) to enhance your knowledge and career progression within the compliance field.

## Salary & Benefits

- Competitive salary
- Discretionary bonus
- Contributory pension scheme
- 25 days' annual leave
- Support for professional qualifications
- Staff healthcare
- Life assurance