

Job description

Department: Risk & Compliance
Job title: Compliance Executive
Reporting to: Risk and Compliance Manager

About RAW Capital Partners

RAW Capital Partners is an investment manager and specialist lender based in Guernsey. We currently operate in a specialist segment of the mortgage market where we can source high-quality opportunities that others overlook. We provide secured lending against UK property, primarily to non-UK resident individuals purchasing buy-to-let property.

Meanwhile, we provide our investors with attractive and consistent risk-adjusted returns, a high level of capital security, and fee transparency. It is our aim to grow the fund's assets under management while continuing to provide investments that perform as investors expect.

Purpose of role

To support the Risk & Compliance Manager in ensuring the business meets its regulatory and compliance obligations, while fostering a strong compliance culture. This role provides exposure to a wide range of compliance activities focused on second and third line of defence, offering an excellent platform for professional development within a compliance function.

Key responsibilities

As a Risk & Compliance Executive, you will assist the Risk & Compliance Manager with a variety of tasks, including but not limited to:

- **Compliance Monitoring:** Supporting the completion of the Compliance Monitoring Programme and contribute to ongoing improvement.
- **Client Due Diligence:** Gathering, analysing, and maintaining client due diligence data for existing clients in line with regulatory requirements, as part of the regular review process.
- **Risk Assessments:** Completing business risk assessment tasks under the direction of the Risk & Compliance Manager.
- **Sanctions and Alert Monitoring:** Checking incoming sanctions and alerts against our mortgage customer database, and reporting where necessary.
- **Regulatory Information:** Ensuring the business is kept up to date with relevant regulatory news and information.
- **Ad Hoc Projects:** Assisting with compliance-related projects as and when required to support the business in meeting its obligations, including policy and procedure updates, thematic reviews etc.

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Financial Services Commission

Registered No. 55668

Professional development

The company is committed to supporting your growth and development. Opportunities for professional development include:

- **On-the-Job Training:** Hands-on experience in a variety of compliance-related tasks to build your expertise.
- **Regulatory and Industry Courses:** Access to courses provided by the Guernsey Financial Services Commission (GFSC), Guernsey Association of Compliance Officers (GACO), Financial Intelligence Unit (FIU) and the Guernsey Training Agency (GTA) to deepen your understanding of compliance and risk management.
- **Professional Certification:** Support in pursuing certifications through the International Compliance Association (ICA) to enhance your knowledge and career progression within the compliance field.

Who this Role is For

This role is ideal for a candidate who is looking to build their career in compliance. Whether you have prior experience in compliance or are transitioning from a related field, you will be supported through training and development to gain the necessary skills and qualifications. If you have an eye for detail, an analytical mindset, and a commitment to upholding high standards, this position offers an excellent opportunity to grow within a supportive and professional environment while taking the next step in your career.